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CONFIRMATION NO. 5750

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.		
10/694,758	10/29/2003 RULE	705	3693	E3331.0601		
APPLICANTS James Sinclair, New York, NY; James O'Hagan, Englishtown, NJ; Edward R. Howorka, Morris Plains, NJ; Jain Neena, South Plainfield, NJ;						
** CONTINUING DATA ***** This appin claims benefit of 60/421,792 10/29/2002						
** FOREIGN APPLICATIONS *****						
** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 03/03/2004						
Foreign Priority claimed	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Met after Allowance	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119(a-d) conditions met	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Initials	NY	12	114	9
Verified and Acknowledged	/JESSICA LEVIEUX/ Examiner's Signature					
ADDRESS DICKSTEIN SHAPIRO LLP 1633 Broadway NEW YORK, NY 10019 UNITED STATES						
TITLE Electronic Trading System Having Increased Liquidity Provision						
FILING FEE RECEIVED 3108	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees		
				<input type="checkbox"/> 1.16 Fees (Filing)		
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